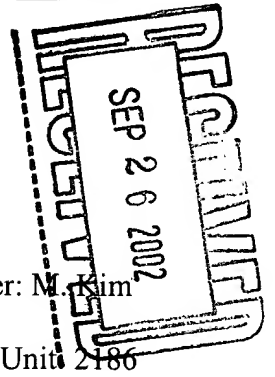


Atty. Docket No.  
005146.00005



#4  
BT  
9-30-02  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Gregory Scott FRIEDMAN et al.

U.S. Pat. App. No.: 09/872,753

Filed: June 1, 2001

Examiner: M. Kim

Group Art Unit 2186

For: SYSTEM AND METHOD FOR PROGRESSIVE AND HIERARCHICAL CACHING

INFORMATION DISCLOSURE STATEMENT

RECEIVED

SEP 20 2002

Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, Applicants bring the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 6,052,735 to Ulrich et al., issued April 18, 2000;
- (2) U.S. Patent No. 5,835,087 to Herz et al., issued November 10, 1998;
- (3) U.S. Patent No. 6,389,455 to Fuisz et al., issued May 14, 2002;
- (4) Japanese Patent 02000003314 to Oda, published January 7, 2000;
- (5) M.C. Chan et al. "Application of Compaction Technique to Optimizing Wireless Email Transfer," IEEE Wireless Communications and Networking Conference 1999, pg 1535 Section A; and
- (6) M. Crispin "Internet Message Access Protocol – Version 4rev1," 1996 Section 2.3.1.1.

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached. These documents were cited in an International Search Report for a related PCT application, a copy of which is also attached.

The International Search Report is in English. Therefore, Applicants respectfully urge that further comment is unnecessary in accordance with 37 C.F.R. 1.98(a)(3). However, Applicants respectfully point out that the International Search Report classifies these documents in categories X, Y and Y,P.

A first Official Action has not yet issued for this application. Therefore, it is respectfully urged that no fees are required for the Examiner's consideration of the documents listed in this Information Disclosure Statement.

Accordingly, it is respectfully requested that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made official of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By: Thomas L. Evans

Thomas L. Evans, Reg. No. 35,805  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001-4597  
Telephone: (202) 508-9100  
Facsimile: (202) 508-9299

September 17, 2002

Atty. Docket No.  
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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**STATEMENT UNDER 37 C.F.R. §1.704(d)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

**SEP 20 2002**

Sir:

**Technology Center 2100**

In accordance with 37 C.F.R. 1.704(d), Applicant hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By: Thomas L. Evans

Thomas L. Evans, Reg. No. 35,805  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001-4597  
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